Research Integrity Funder Requirements Guidance Document: Royal Academy of Engineering – At Application Stage

In addition to the below guidance, for existing grant holders, please also refer to the contract of your grant's terms and conditions for any additional or superseding potential requirements on these topics.

Bullying and Harassment:

Please familiarise yourself with the policy located here: https://www.raeng.org.uk/publications/other/bullying-and-harassment-policy-(1)

Of note in stage 4 'formal stage' on page 6 of 8 it states: "Harassment and bullying are already covered by our grant conditions as instances of misconduct that should be notified to the Academy as funder. The Academy will be adding extra conditions to underline our expectations of grant receiving organisations around bullying and harassment and to highlight routes for failures to live up to these expectations to be reported to the Academy. Confirmed offences will be sufficient grounds to terminate awards, and failure to suitably investigate alleged offences may lead to an organisation's eligibility being suspended."

Bullying and Harassment, Research Misconduct and Conflict of interest:

Please familiarise yourself with the Standard Contract Template terms and conditions here: https://www.raeng.org.uk/publications/other/standard-contract-template-organisations

Of note in sections 6.6 and 6.10 on page 7 of 19 it states "6.6 The Recipient agrees to endorse the commitments of the Concordat to Support Research Integrity and must have in place formal written procedures and policies to promote and ensure compliance with the commitments. In particular the Recipient commits to adhere to the highest standards of professionalism and integrity and agrees to have procedures in place to ensure that research is conducted in accordance with standards of best practice; systems to promote research integrity; and transparent, robust and fair processes to investigate alleged research misconduct.

6.10 The Recipient will promptly notify the Academy of any formal investigation against the Awardee(s) which is ongoing at the Award Start Date or subsequently which relates to the conduct of the Awardee(s) including (but not limited to) matters such as harassment, bullying, and any breach of the law or applicable Codes of Conduct or any of the terms of the relevant employment contract."

Please also familiarise yourself with the policy located here: https://www.raeng.org.uk/RAE/media/General/Grants%20and%20prizes/Enterprise%20Hub/Code-of-conduct-for-Hub-members-June-2020.pdf

Safeguarding:

Please familiarise yourself with the Standard Contract Template terms and conditions here:

https://www.raeng.org.uk/publications/other/standard-contract-template-organisations

Of note in sections 8.4 to 8.6 on page 10 of 19 it states:

- "8.4 The Recipient shall report to the Academy (to the extent permitted by law) any complaints received of actual, attempted or threatened sexual exploitation, sexual abuse or sexual harassment (a 'Safeguarding Disclosure') about, by or from its employees or those representing the Recipient, where the complaint is relevant to persons or activities funded by the Grant.
- 8.5 The Recipient shall report to the Academy (to the extent permitted by law) if the Recipient becomes aware of a Safeguarding Disclosure where the complaint is relevant to persons or activities funded by the Grant.
- 8.6 Provided that in complying with its obligations under 8.4 and 8.5 above, the Recipient shall provide an outline of the complaint and details of the action being taken by the Recipient but shall not be required to provide any personal data."